



Ballot Question Contribution Statement

4:04pm

SUBMITTED
OCT 22 2018
S.D. SEC. OF STATE

A ballot question committee may only accept contributions from a person, entity, or political committee. A violation of this section is a Class 2 misdemeanor and a subsequent offense within a calendar year is a Class 1 misdemeanor.

****This form is not required if an entity contributes donated goods or services.****

(SDCL 12-27-18.1 and SDCL 12-27-19).

Entities must complete this statement and submit it to the ballot question committee before EACH contribution. (SDCL 12-27-19).

File this statement with the SOUTH DAKOTANS AGAINST THE DECEPTIVE RX BALLOT ISSUE Ballot Question committee.

Amount of contribution: \$110,000.00

Date of contribution: August 21, 2018

Full name of entity or fictitious name (if any):
Novartis Pharmaceuticals Corporation

Complete mailing address (PO Box or street address, city, state, zip) of entity's office:
One Health Plaza, East Hanover, NJ 07936

Filed this 24th day of October 2018

Mailing address (PO Box or street address, city, state, zip) of person authorizing the contribution:
One Health Plaza, East Hanover, NJ 07936

Shantal Krebs
SECRETARY OF STATE

**** Below you must provide the names and mailing addresses of any owners or directors and officers of the entity. ****

Name of Entity's Owners or Directors & Officers <i>(List what is applicable)</i>	Mailing Address <i>(PO Box or Street Address, City, State, Zip)</i>
Sole Owner: Novartis Finance Corporation	230 Park Avenue 21st Floor New York, NY 10169
Chairman: Paul Hudson Directors: Fabrice Chouraqui, Thomas Kendris, Shannon Klingler	One Health Plaza, East Hanover, NJ 07936
Officers: Fabrice Chouraqui, President, John McKenna, CFO, Elizabeth McGee, General Counsel	One Health Plaza, East Hanover, NJ 07936
Robert Gleeson, Assistant Secretary to the Board	One Health Plaza, East Hanover, NJ 07936

No person may execute this report knowing it is false in any material respect. Any violation may be subject to a civil and/or criminal penalty. Any person who, with intent to defraud, falsely makes, completes, or alters a written instrument of any kind, or passes any forged instrument of any kind is guilty of forgery. Forgery is a Class 5 felony (SDCL 22-39-36). Additional civil penalties not to exceed \$250.00 could be assessed per SDCL 12-27-29.4.

I hereby declare and affirm under penalty of perjury that no part of the contribution was raised or collected by the entity for the purpose of influencing the ballot question.

Print name of person authorizing the contribution: Robert Gleeson, Assistant Secretary to the Board

Signature of the person authorizing the contribution: [Signature]

Date: 8/15/18

**** The information below must be provided by the entity before contributing more than \$10,000 to the ballot question committee. (SDCL 12-27-19) ****

Before contributing more than ten thousand dollars in the aggregate to a ballot question committee pursuant to **SDCL 12-27-18**, an entity shall provide to the ballot question committee a sworn written statement made by the president and treasurer of the entity declaring and affirming, under the penalty of perjury, the following:


(1) The name and street address of every person who owns ten percent or more of the entity, has provided ten percent or more of the entity's gross receipts, including capital contributions, in the current or preceding year, or has provided ten percent or more of the funds being contributed to the ballot question committee

Name of Shareholder or Member	Complete Street Address (address, city, state, zip)
Novartis Finance Corporation	230 Park Avenue 21st Floor New York, NY 10169

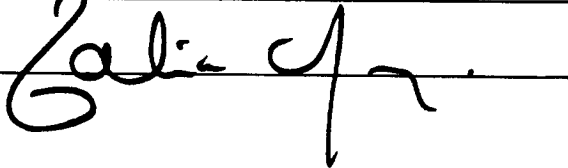
No person may execute this report knowing it is false in any material respect. Any violation may be subject to a civil and/or criminal penalty. Any person who, with intent to defraud, falsely makes, completes, or alters a written instrument of any kind, or passes any forged instrument of any kind is guilty of forgery. Forgery is a Class 5 felony (**SDCL 22-39-36**). Additional civil penalties not to exceed \$250 could be assessed per **SDCL 12-27-29.4**.

I hereby declare and affirm under penalty of perjury that no part of the contribution was raised or collected by the entity for the purpose of influencing the ballot questions.

Date: 8/15/18 Entity Treasurer Printed Name: John McKenna, CFO

Entity Treasurer Signature: 

Date: 8/15/18 Entity President Printed Name: Fabrice Chouraqui, President

Entity President Signature: 

State law requires you to submit this information to the treasurer of the ballot question committee you are making the contribution to.